

Regional Investor Awareness Programme with SEBI held at Ahmednagar on February 23, 2019



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Performance Summary*



Investor Accounts

1,82,77,974



Participants

276



Participant Service Centres

30,739



Demat Custody

₹ 175.84 Lakh Crore



Settlement

₹ 2.16 Lakh Crore



Companies available for demat

23,721



Instruments

◆ Certificates of Deposit 454

◆ Commercial Papers 2,131

◆ Debentures/Bonds 14,978

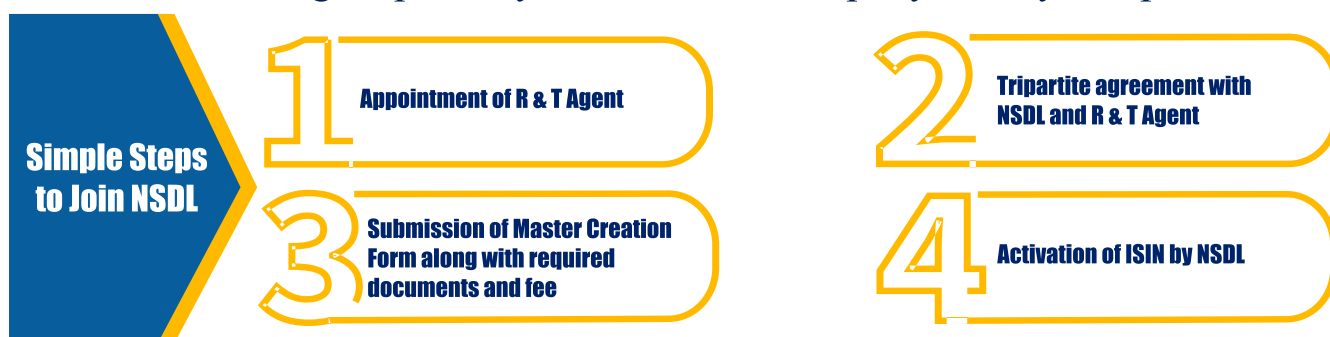
◆ Securitised Instruments 2,536

* Information as on January 31, 2019

Dematerialisation of Securities of Unlisted Public Companies

The Ministry of Corporate Affairs in its drive to enhance transparency, investor protection and corporate governance has notified Companies (Prospectus and Allotment of Securities), Third Amendment Rules, 2018 effective from October 02, 2018. Accordingly, unlisted public companies need to dematerialise its existing securities and ensure that future issues of securities and transfers are only in dematerialised form.

Joining Depository as an Issuer Company is very Simple



Documents required for Joining NSDL

- Master Creation Form containing information of company.
- Networth certificate from a Chartered Accountant as per audited annual report for the last financial year.
- Certified true copy of Board Resolution mentioning signatories authorized by Board to execute documents and their specimen signature.
- Confirmation letter from Registrar & Transfer Agent.
- Certified true copies of Memorandum of Articles, Articles of Association along with Certificate of Incorporation.
- Certified true copy of audited annual report for the last financial year.
- An Undertaking from private limited company in a prescribed format.

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News Articles

Increase in timelines for processing of Dematerialisation Requests

SEBI has extended timelines for processing of dematerialisation requests by the Issuer or its Registrar & Transfer Agent to 30 days till June 30, 2019 from the current 15 days.

Reference: Circular No. NSDL/POLICY/2019/0007 dated January 30, 2019, available on NSDL website: www.nsd.co.in

Training Programmes for Participants:

➤ **NISM certification programme for Participants**

To facilitate officials of Participants to prepare and appear for NISM - Series VI - Depository Operations Certification Examination (DOCE), NSDL conducted a training programme at Mumbai in January 2019.

➤ **CPE Training Programme for Participants**

NSDL, a NISM accredited Continuing Professional Education (CPE) Provider offers CPE training programmes in different modules for eligible associated persons. In January 2019, NSDL conducted seven such training programmes at Ahmedabad, Chennai, Coimbatore, Kolkata, Mumbai and New Delhi.

➤ **Training Programme for Issuers and Registrar & Transfer Agents**

NSDL conducted a two days training programme for Issuers and Registrar & Transfer Agents at Mumbai in January 2019.

Investor Education initiatives undertaken by NSDL

NSDL conducts Investor Awareness Programmes (IAPs) throughout the country to ensure investors are aware of different aspects of investing. Till date, NSDL has conducted over 3,300 programmes which have been attended by more than 3.26 Lakh investors. Feedback received from investors during these IAPs is extremely encouraging. While schedule of these programs is published online at <https://nsdl.co.in/Investor-Awareness-Programmes.php>, we shall be happy to conduct IAPs for your organization / institute / society. Help us in driving the investor education initiative further by writing to us at info@nsdl.co.in about such programmes to be conducted.

More the education, more the prudence.

Admission to these programmes is free for all investors.

List of Companies which have changed Name during January 2019

Sr. No.	Old Name	New Name
1	Ansal Housing and Construction Limited	Ansal Housing Limited
2	Antony Waste Handling Cell Private Limited	Antony Waste Handling Cell Limited
3	Art Affordable Housing Finance (India) Limited	Art Housing Finance (India) Limited
4	ATC Infrastructure Services Limited	ATC Infrastructure Services Private Limited
5	Aushim Soft Private Limited	Carnival Techno Park Private Limited
6	Bajaj Corp Limited	Bajaj Consumer Care Limited
7	Bhagwandas Metals Limited	Pradhin Limited
8	BLP Energy Private Limited	Enel Green Power India Private Limited
9	Century Metal Recycling Private Limited	Century Metal Recycling Limited
10	Chettinad Cement Corporation Limited	Chettinad Cement Corporation Private Limited
11	Crest Premedia Solutions Private Limited	Springer Nature Technology and Publishing Solutions Private Limited
12	Dhunseri Petrochem Limited	Dhunseri Ventures Limited
13	Edocs Solutions Private Limited	Edocs Solutions Limited
14	Emerson Industrial Automation Electric Power Generation Private Limited	Nidec Industrial Automation India Private Limited
15	Energon Soleq India Power Resources Private Limited	Vena Energy Solar India Power Resources Private Limited
16	Energon Soleq Ravi India Power Resources Private Limited	Vena Energy Solar Ravi India Power Resources Private Limited
17	Escorts Mutual Fund	Quant Mutual Fund
18	Essar Oilfield Services India Limited	OGD Services Limited
19	Fasttrack Housing Finance Private Limited	Fasttrack Housing Finance Limited
20	Giriraj Renewables Private Limited	Avaada Energy Private Limited
21	HDFC Standard Life Insurance Co Limited	HDFC Life Insurance Company Limited
22	Idea Cellular Infrastructure Services Limited	ATC Infrastructure Services Limited
23	Impatient Traders Private Limited	JTPM Metal Traders Private Limited
24	Incor Power Private Limited	Incor Lake City Projects Private Limited
25	Indus Software Technologies Private Limited	Ebix Technologies Private Limited
26	Jafza Chennai Business Park (India) Private Limited	Integrated Chennai Business Park (India) Private Limited
27	Jafza Mumbai Business Park (India) Private Limited	Integrated Mumbai Business Park (India) Private Limited
28	Juggilal Kamlatpat Udyog Limited	Sidhivinayak Trading and Investment Limited
29	Kumar Builders Township Ventures Private Limited	Ashdan Township Ventures Private Limited
30	Leela Soft Private Limited	Carnival Soft Private Limited
31	Matrix Developers Private Limited	Matrix Developers Limited
32	MC-Stitch Limited	Maxplus Logistics Limited
33	Mimoza Enterprises Finance Private Limited	Pradakshana Fintech Private Limited
34	Misquita Engineering Private Limited	Misquita Engineering Limited
35	OSL Healthcare Private Limited	Nayati Healthcare & Research Ncr Private Limited
36	Philips Lighting India Limited	Signify Innovations India Limited
37	Prerna Infradevelopers Private Limited	Aten Corporate Consultants Private Limited
38	Ranchhod Sugars Private Limited	Ranchhod Multi - Trade Limited
39	Religare Wealth Management Limited	Religare Advisors Limited
40	RP-SG Business Process Services Limited	CESC Ventures Limited
41	RP-SG Retail Limited	Spencer's Retail Limited
42	Santaram Spinners Limited	Jayatma Industries Limited
43	Sinner Energy India Limited	Welcon International Limited
44	Sri Biotech Laboratories India Limited	Valagro Biosciences Limited
45	Stuti Hardware Private Limited	Ability Games Private Limited
46	Talwalkars Lifestyles Limited	Talwalkars Healthclubs Limited
47	The Mobilewallet Private Limited	TMW Fintech Private Limited
48	Tumus Electric Corporation Limited	Modulex Construction Technologies Limited
49	UAE Exchange and Financial Services Limited	Unimoni Financial Services Limited
50	Warden International (Agencies) Private Limited	Warden International Private Limited

Performance Summary

INVESTORS

Month - Year	Client Accounts
	No. of Accounts (Lakh)
Jan-17	153.37
Jan-18	168.65
Jan-19	182.77

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Jan-17	268	27,357	1,944
Jan-18	276	28,118	1,938
Jan-19	276	30,739	1,934

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (In Crore)	Value of Dematerialised Securities (In ₹ Crore)
Jan-17	1,25,945	1,36,05,175
Jan-18	1,45,716	1,79,83,900
Jan-19	1,75,416	1,75,84,348

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (In Crore)	Value of shares settled in dematerialised form (In ₹ Crore)
Jan-17	803	1,80,531
Jan-18	1,552	3,19,848
Jan-19	903	2,16,447

(Single Side)

EQUITY

Companies

Month - Year	No. of Companies Operational
Jan-17	17,266
Jan-18	19,452
Jan-19	23,721

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Jan-17	1,623	14,600	23,17,927
Jan-18	1,931	15,483	27,43,063
Jan-19	2,122	14,978	29,62,208

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Jan-17	1,473	1,917	1,65,174
Jan-18	1,699	2,100	1,94,324
Jan-19	2,022	2,536	2,38,354

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Jan-17	422	2,573	4,27,847
Jan-18	426	2,471	5,62,635
Jan-19	358	2,131	5,79,394

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Jan-17	32	517	1,80,249
Jan-18	29	363	1,82,857
Jan-19	34	454	2,32,182

Corporate Action Statistics

Sr. No.	Description	During January 2019		For F.Y. 2018 - 19 (Upto January 2019)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Alternative Investment Fund	0	0	8	10,00,000
2	Bonds	42,088	39,61,34,684	2,39,656	3,83,15,26,848
3	Certificates of Deposit	331	56,53,300	2,330	4,63,72,353
4	Commercial Papers	744	40,50,177	8,839	4,41,19,688
5	Equity Shares	4,12,500	18,74,83,47,127	76,76,030	1,57,42,34,88,490
6	Government Securities	4,103	14,58,341	19,950	73,37,362
7	Infrastructure Investment Trust	0	0	24	47,26,81,646
8	Mutual Fund Units	1,04,822	48,24,90,555	7,37,024	12,77,19,72,865
9	Mutual Fund Units (Trase)	89,253	32,13,32,260	9,15,622	3,56,86,20,192
10	Preference Shares	61	2,05,98,24,287	4,291	7,47,79,12,200
11	Securitised Instruments	173	35,30,32,79,046	1,652	1,78,88,71,24,332
12	Warrants	8	64,50,07,853	197	93,03,63,927
	Total	6,54,083	57,96,75,77,630	96,05,623	3,65,46,25,19,902

Securities admitted for dematerialisation during January 2019

To view the list of securities that have been admitted for dematerialisation during January 2019, kindly visit https://nsdl.co.in/securities_admitted_for_dematerialisation.php

Forthcoming Investor Awareness Programmes

Sr. No.	Date	Venue	City	State	Timing
1	08-Mar-19	Trupti Banquet, 4 th Floor, Above MH High School, Opp. Kalyan Jewellers, Shivaji Path, Thane, Mumbai – 400601, Maharashtra	Mumbai	Maharashtra	06.00 p.m. onwards
2	09-Mar-19	Maharashtra Seva Mandal, 44, Ganesh Lane, Lal Baug, Parel, Mumbai – 400012, Maharashtra	Mumbai	Maharashtra	06.00 p.m. onwards
3	09-Mar-19	Haritha Kakatiya Hotel, Near, Main Road, Adalath Circle, Nakkala Gutta, Hanamkonda, Telangana – 506001	Hanamkonda	Telangana	04.00 p.m. onwards
4	09-Mar-19	Hotel Apurba, State Highway 2, Arambagh – 712601, West Bengal	Arambagh	West Bengal	11.30 a.m. onwards
5	10-Mar-19	All India Institute of Local Self-Government, Sthanikraj Bhavan, C. D. Barfiwala Marg, Juhu Gali, Andheri (West), Mumbai - 400058	Mumbai	Maharashtra	10.00 a.m. onwards

- Admission is free for all investors.
- Schedule is subject to change. Please visit <https://nsdl.co.in/Investor-Awareness-Programmes.php> for updated schedule.

NSDL e-voting

National Securities Depository Limited has set up an electronic platform to facilitate shareholders to cast their votes electronically on various resolutions of companies. NSDL e-Voting platform ensures full compliance with MCA guidelines and is certified by Standardisation Testing and Quality Certification (STQC), Government of India.

OUR USPs

- ◆ End to End solutions for e-Voting.
- ◆ NSDL set up entire infrastructure and offers variety of solutions to meet your needs
 - ◆ e-voting at meeting venue- AGM/EGM, Court Convened Meetings, Shareholders Director elections of banks, etc.
 - ◆ Tablet based voting on wireless network at meeting venue.
 - ◆ Live Video Streaming and Webcasting of General Meeting Proceedings.
 - ◆ Sending e-Notices to Shareholders.
 - ◆ e-voting using NSDL Mobile App, IDeAS and Online platform.
 - ◆ Voting stations and in-seat voting at meeting venue.
- ◆ Consolidated results are available immediately after closure of voting.
- ◆ Separate modules for retail clients and institutional clients having custodians.
- ◆ User friendly and fully secured interface for all users.
 - ◆ DSC based login for Issuers and Scrutinizers for enhanced security.
 - ◆ User ID and passwords are encrypted while sending to shareholders.
 - ◆ Compulsory change of default password by shareholders upon first time login.
- ◆ Single login ID for one shareholder which can be used for e-voting on NSDL platform for all companies.
- ◆ Online facility for shareholders to update their mobile number and email address on NSDL e-Voting platform.



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- **IDeAS**
- **e-voting**
- **FPI Monitor**
- **SPEED-e**
- **IndiaBondInfo**
- **NIR**
- **NSDL Mobile App**

& many more

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Forthcoming Training Programmes for Participants *

Sr. No.	Date of Training	Location of Training	Type of Training Programme
1	March 16, 2019	Kolkata	Continuing Professional Education (CPE) - Securities Intermediaries Compliance(Non-Fund)
2	March 16, 2019	Ahmedabad	Continuing Professional Education (CPE) - Depository Operations
3	March 16, 2019	Chennai	Continuing Professional Education (CPE) - Depository Operations
4	March 16, 2019	Mumbai	Continuing Professional Education (CPE) - Depository Operations
5	March 30, 2019	New Delhi	Continuing Professional Education (CPE) - Depository Operations
6	March 26-27, 2019	Mumbai	Issuers and Registrar & Transfer Agents

* Schedule is subject to change.

Forthcoming Compliance

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/ POLICY/2015/0096 dated October 29, 2015

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